



PATENT  
Attorney Docket No.: SONY-26000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Quan Vu et al.

Serial No.: 10/673,020

Filed: September 25, 2003

For: **INFORMATION AND CONTENT  
EXCHANGE DOCUMENT TYPE  
DEFINITIONS TO SUPPORT  
CONTENT DISTRIBUTION**

) Group Art Unit: 2171

) Examiner:

) **TRANSMITTAL LETTER**

) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700

) Customer No.: 28960

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

An Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon are enclosed, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: February 13, 2007

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 2/13/07 By: [Signature]



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For: **INFORMATION AND CONTENT** ) **INFORMATION DISCLOSURE**  
**EXCHANGE DOCUMENT TYPE** ) **STATEMENT UNDER 37 CFR § 1.97(e)**  
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Sir:

This certification is being made for the Information Disclosure Statement accompanying this certification.

**Certification**

I, the person signing below, hereby certify that the printed publications contained in the enclosed information disclosure statement were cited either within an Official Action mailed on January 26, 2007 or January 29, 2007. **Accordingly, I hereby certify that no reference contained within this information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement. 37 C.F.R. 1.97(e)(2)**

CERTIFICATE OF MAILING (37 CFR § 1.8(a))  
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HAVERSTOCK & OWENS LLP.

Date: 2/13/07 By: [Signature]

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: February 13, 2002

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants



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Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Publication No. 2004/0010467 A1;
- U.S. Publication No. 2004/0205263 A1;
- U.S. Patent No. 6,226,744 B1;
- U.S. Patent No. 6,341,316 B1; and
- U.S. Patent No. 7,016,966 B1.

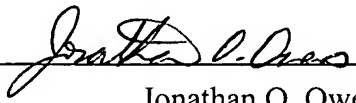
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Date: 2/13/07 By: [Signature]

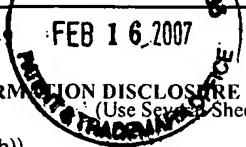
This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: February 13, 2007

By:   
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No.: SONY-26000	Serial No.: 10/673,020
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use Separate Sheets If Necessary)			Applicant: Quan Vu et al.	
(37 CFR § 1.98(b))			Filing Date: September 25, 2003	Group Art Unit: 2171

## U.S. PATENT DOCUMENTS

Examiner Initials		Publ No./ Patent No.	Publication Date/ Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	2004/0010467 A1	1/15/04	Hori et al.	705	50	3/28/01
	AB	2004/0205263 A1	10/14/04	Sivaraman et al.	710	21	11/8/02
	AC	6,226,744 B1	5/1/01	Murphy et al.	713	200	10/9/97
	AD	6,341,316 B1	1/22/02	Kloba et al.	709	248	4/28/00
	AE	7,016,966 B1	3/21/06	Saulpaugh et al.	709	230	10/19/00
	AF						
	AG						
	AH						
	AI						
	AJ						
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	AL						
	AM						
	AN						
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## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AP							
	AQ							
	AR							
	AS							
	AT							

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	AU	
	AV	
	AW	
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Examiner:

Date Consider

**EXAMINER:**

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.